

General Assembly

Amendment

February Session, 2000

LCO No. 3748

Offered by:

REP. HAMZY, 78th Dist. REP. RORABACK, 64th Dist.

To: Subst. House Bill No. **5102**

File No. **475**

Cal. No. 45

"An Act Proposing Comprehensive Campaign Finance Reform For State-Wide Constitutional Offices And General Assembly Offices."

- Strike out everything after the enacting clause and substitute the
- 2 following in lieu thereof:
- 3 "Section 1. Section 9-333a of the general statutes, as amended by
- 4 section 1 of public act 99-12, is repealed and the following is
- 5 substituted in lieu thereof:
- 6 As used in this chapter:
- 7 (1) "Committee" means a party committee, political committee or a
- 8 candidate committee organized, as the case may be, for a single
- 9 primary, election or referendum, or for ongoing political activities, to
- aid or promote the success or defeat of any political party, any one or
- 11 more candidates for public office or the position of convention
- 12 delegate or town committee member or any referendum question.
- 13 (2) "Party committee" means a state central committee, [or] a town

14 committee or a legislative caucus committee. "Party committee" does

- 15 not mean a party-affiliated or district, ward or borough committee
- which receives all of its funds from the state central committee of its
- 17 party or from a single town committee with the same party affiliation.
- 18 Any such committee so funded shall be construed to be a part of its
- 19 state central or town committee for purposes of this chapter.
- 20 <u>(3) "Legislative caucus committee" means a single committee</u>
- 21 designated by the majority of the members of a political party who are
- 22 also state representatives or state senators, which designation is
- 23 certified by the chairperson of the committee on the registration filed
- 24 with the Secretary of the State.
- 25 [(3)] (4) "Political committee" means (A) a committee organized by a
- 26 business entity or organization, (B) persons other than individuals, or
- 27 two or more individuals organized or acting jointly conducting their
- 28 activities in or outside the state, (C) a committee established by a
- 29 candidate to determine the particular public office to which [he] the
- 30 candidate shall seek nomination or election, and referred to in this
- 31 chapter as an exploratory committee or (D) a committee established by
- 32 or on behalf of a slate of candidates in a primary for the position of
- 33 convention delegate, but does not mean a candidate committee or a
- 34 party committee.
- 35 [(4)] (5) "Candidate committee" means any committee designated by
- 36 a single candidate, or established with the consent, authorization or
- 37 cooperation of a candidate, for the purpose of a single primary or
- 38 election and to aid or promote [his] the candidate's candidacy alone for
- 39 a particular public office or the position of town committee member,
- 40 but does not mean a political committee or a party committee.
- 41 [(5)] (6) "National committee" means the organization which
- 42 according to the bylaws of a political party is responsible for the day-
- 43 to-day operation of the party at the national level.
- 44 [(6)] (7) "Organization" means all labor organizations, (A) as defined
- 45 in the Labor-Management Reporting and Disclosure Act of 1959, as

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from time to time amended, or (B) as defined in subdivision (9) of section 31-101, employee organizations as defined in subsection (d) of section 5-270 and subdivision (6) of section 7-467, bargaining representative organizations for teachers, any local, state or national organization, to which a labor organization pays membership or per capita fees, based upon its affiliation or membership, and trade or professional associations which receive their funds exclusively from membership dues, whether organized in or outside of this state, but does not mean a candidate committee, party committee or a political committee.

[(7)] (8) "Business entity" means the following, whether organized in or outside of this state: Stock corporations, banks, insurance companies, business associations, bankers associations, insurance associations, trade or professional associations which receive funds from membership dues and other sources, partnerships, joint ventures, private foundations, as defined in Section 509 of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended; trusts or estates; corporations organized under sections 38a-175 to 38a-192, inclusive, 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and chapters 594 to 597, inclusive; cooperatives, and any other association, organization or entity which is engaged in the operation of a business or profit-making activity; but does not include professional service corporations organized under chapter 594a and owned by a single individual, nonstock corporations which are not engaged in business or profit-making activity, organizations, as defined in subdivision (6) of this section, candidate committees, party committees and political committees as defined in this section. For purposes of this chapter, corporations which are component members of a controlled group of corporations, as those terms are defined in Section 1563 of the Internal Revenue Code of 1986, or any subsequent corresponding internal revenue code of the United States, as from time to time amended, shall be deemed to be one corporation.

79 [(8)] (9) "Individual" means a human being, a sole proprietorship, or

80 a professional service corporation organized under chapter 594a and 81 owned by a single human being.

"Person" means an individual, committee, firm, (10)partnership, organization, association, syndicate, company trust, corporation, limited liability company or any other legal entity of any kind but does not mean the state or any political or administrative subdivision of the state.

[(10)] (11) "Candidate" means an individual who seeks nomination for election or election to public office whether or not such individual is elected, and for the purposes of this chapter an individual shall be deemed to seek nomination for election or election if [he] the individual has (A) been endorsed by a party or become eligible for a position on the ballot at an election or primary or (B) solicited or received contributions or made expenditures or [given his consent] consented to any other person to solicit or receive contributions or make expenditures with the intent to bring about [his] the individual's nomination for election or election to any such office. "Candidate" also means a slate of candidates which is to appear on the ballot in a primary for the position of convention delegate. For the purposes of sections 9-333 to 9-333l, inclusive, and section 9-333w, "candidate" also means an individual who is a candidate in a primary for town committee members.

[(11)] (12) "Campaign treasurer" means the individual appointed by a candidate or by the chairman of a party committee or a political committee to receive and disburse funds on behalf of the candidate or committee.

[(12)] (13) "Deputy campaign treasurer" means the individual appointed by the candidate or by the chairman of a committee to serve in the capacity of the campaign treasurer if the campaign treasurer is unable to perform [his] the duties of the treasurer.

110 [(13)] (14) "Solicitor" means an individual appointed by a campaign treasurer of a committee to receive, but not to disburse, funds on

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- behalf of the committee.
- [(14)] (15) "Referendum question" means a question to be voted
- 114 upon at any election or referendum, including a proposed
- 115 constitutional amendment.
- [(15)] (16) "Lobbyist" means a lobbyist as defined in subsection (l) of
- 117 section 1-91.
- [(16)] (17) "Business with which he is associated" means any
- business in which the contributor is a director, officer, owner, limited
- or general partner or holder of stock constituting five per cent or more
- of the total outstanding stock of any class. Officer refers only to the
- 122 president, executive or senior vice-president or treasurer of such
- 123 business.
- [(17)] (18) "Independent expenditure" means an expenditure that is
- made without the consent, knowing participation, or consultation of, a
- 126 candidate or agent of the candidate committee. "Independent
- 127 expenditure" does not include an expenditure (A) if there is any
- 128 coordination or direction with respect to the expenditure between the
- candidate or the treasurer, deputy treasurer or chairman of [his] the
- candidate committee and the person making the expenditure or (B) if,
- during the same election cycle, the individual making the expenditure
- serves or has served as the treasurer, deputy treasurer or chairman of
- the candidate committee.
- [(18)] (19) "Federal account" means a depository account that is
- subject to the disclosure and contribution limits provided under the
- 136 Federal Election Campaign Act of 1971, as amended from time to time.
- [(19)] (20) "Public funds" means funds belonging to, or under the
- 138 control of, the state or a political subdivision of the state.
- Sec. 2. Subsection (b) of section 9-333b of the general statutes, as
- amended by public act 99-264, is repealed and the following is
- substituted in lieu thereof:

- (b) As used in this chapter, "contribution" does not mean:
- 143 (1) A loan of money made in the ordinary course of business by a national or state bank;
- 145 (2) Any communication made by a corporation, organization or 146 association to its members, owners, stockholders, executive or 147 administrative personnel, or their families;
- 148 (3) Nonpartisan voter registration and get-out-the-vote campaigns 149 by any corporation, organization or association aimed at its members, 150 owners, stockholders, executive or administrative personnel, or their 151 families;
- 152 (4) Uncompensated services provided by individuals volunteering 153 their time;
 - (5) The use of real or personal property, and the cost of invitations, food or beverages, voluntarily provided by an individual to a candidate or on behalf of a state central or town committee, in rendering voluntary personal services for candidate or party-related activities at the individual's residence, to the extent that the cumulative value of the invitations, food or beverages provided by the individual on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in any calendar year;
 - (6) The sale of food or beverage for use in a candidate's campaign or for use by a state central or town committee at a discount, if the charge is not less than the cost to the vendor, to the extent that the cumulative value of the discount given to or on behalf of any single candidate does not exceed two hundred dollars with respect to any single election, and on behalf of all state central and town committees does not exceed four hundred dollars in a calendar year;
- 171 (7) Any unreimbursed payment for travel expenses made by an

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individual who on his own behalf volunteers his personal services to

- 173 any single candidate to the extent the cumulative value does not
- 174 exceed two hundred dollars with respect to any single election, and on
- 175 behalf of all state central or town committees does not exceed four
- 176 hundred dollars in a calendar year;
- 177 (8) The payment, by a party committee, political committee or an
- 178 individual, of the costs of preparation, display, mailing or other
- 179 distribution incurred by the committee or individual with respect to
- any printed slate card, sample ballot or other printed list containing
- 181 the names of three or more candidates;
- 182 (9) The donation of any item of personal property by an individual
- 183 to a committee for a fund-raising affair, including a tag sale or auction,
- or the purchase by an individual of any such item at such an affair, to
- 185 the extent that the cumulative value donated or purchased does not
- 186 exceed fifty dollars;
- [(10) The purchase of advertising space which clearly identifies the
- 188 purchaser, in a program for a fund-raising affair, provided the
- 189 cumulative purchase of such space does not exceed two hundred fifty
- dollars from any single candidate or his committee with respect to any
- single election campaign or two hundred fifty dollars from any single
- 192 party committee or other political committee in any calendar year if
- 193 the purchaser is a business entity or fifty dollars for purchases by any
- 194 other person;
- [(11)] (10) The payment of money by a candidate to his candidate
- 196 committee;
- [(12)] (11) The donation of goods or services by a business entity to a
- 198 committee for a fund-raising affair, including a tag sale or auction, to
- 199 the extent that the cumulative value donated does not exceed one
- 200 hundred dollars;
- 201 [(13)] (12) The advance of a security deposit by an individual to a
- 202 telephone company, as defined in section 16-1, for telecommunications

service for a committee, provided the security deposit is refunded to the individual; or

- 205 [(14)] (13) The provision of facilities, equipment, technical and managerial support, and broadcast time by a community antenna 206 207 television company, as defined in section 16-1, for community access 208 programming pursuant to section 16-331a, unless (A) the major 209 purpose of providing such facilities, equipment, support and time is to 210 influence the nomination or election of a candidate or (B) such 211 facilities, equipment, support and time are provided on behalf of a 212 political party.
- Sec. 3. Section 9-333d of the general statutes is amended by adding subsection (d) as follows:
- (NEW) (d) (1) In addition to its jurisdiction over persons who are residents of this state, the State Elections Enforcement Commission may exercise personal jurisdiction over any nonresident person, or the agent of such person, who makes a payment of money, gives anything of value, or makes a contribution or expenditure to or for the benefit of any committee or candidate.
 - (2) Where personal jurisdiction is based solely upon this subsection, an appearance does not confer personal jurisdiction with respect to causes of action not arising from an act enumerated in this subsection.
 - (3) Any nonresident person or the agent of such person over whom the State Elections Enforcement Commission may exercise personal jurisdiction, as provided in subdivision (1), shall be deemed to have appointed the Secretary of the State as the person's or agent's attorney and to have agreed that any process in any complaint, investigation or other matter conducted pursuant to section 9-7b brought against the nonresident person, or said person's agent, may be served upon the Secretary of the State and shall have the same validity as if served upon such nonresident person or agent personally. The process shall be served by the officer to whom the same is directed upon the Secretary of the State by leaving with or at the office of the Secretary of

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the State, at least twelve days before any required appearance day of such process, a true and attested copy of such process, and by sending to the nonresident person or agent so served, at the person's or agent's last-known address, by registered or certified mail, postage prepaid, a like and attested copy with an endorsement thereon of the service upon the Secretary of the State. The Secretary of the State shall keep a record of each such process and the day and hour of service.

- Sec. 4. Subdivision (1) of subsection (g) of section 9-333i of the general statutes is repealed and the following is substituted in lieu thereof:
- (g) (1) As used in this subsection, (A) "the lawful purposes of his committee" means: (i) For a candidate committee or exploratory committee, the promoting of the nomination or election of the candidate who established the committee; (ii) for a political committee, the promoting of the success or defeat of candidates for nomination and election to public office or position subject to the requirements of this chapter, or the success or defeat of referendum questions, provided a political committee formed for a single referendum question shall not promote the success or defeat of any candidate; [, and provided further a political committee designated by the majority of the members of a political party who are also members of the state House of Representatives or the state Senate may expend funds to defray costs of its members for conducting legislative or constituencyrelated business which are not reimbursed or paid by the state;] and (iii) for a party committee, the promoting of the party, the candidates of the party and continuing operating costs of the party, provided a legislative caucus committee may expend funds to defray costs of its members for conducting legislative or constituency-related business which are not reimbursed or paid by the state, and (B) "immediate family" means a spouse or dependent child of a candidate who resides in the candidate's household.
- Sec. 5. Subsection (c) of section 9-333j of the general statutes is repealed and the following is substituted in lieu thereof:

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(c) (1) Each statement filed under subsection (a), (e) or (f) of this section shall include, but not be limited to: (A) An itemized accounting of each contribution, if any, including the full name and complete address of each contributor and the amount of the contribution; (B) in the case of anonymous contributions, the total amount received and the denomination of the bills; (C) an itemized accounting of each expenditure, if any, including the full name and complete address of each payee, the amount and the purpose of the expenditure, the candidate supported or opposed by the expenditure, whether the expenditure is made independently of the candidate supported or is an in-kind contribution to the candidate, and a statement of the balance on hand or deficit, as the case may be; (D) an itemized accounting of each expense incurred but not paid; (E) the name and address of any person who is the guarantor of a loan to, or the cosigner of a note with, the candidate on whose behalf the committee was formed, or the campaign treasurer in the case of a party committee or a political committee or who has advanced a security deposit to a telephone company, as defined in section 16-1, for telecommunications service for a committee; (F) [for each business entity or person purchasing advertising space in a program for a fund-raising affair, the name and address of the business entity and the name of the chief executive officer of the business entity or the name and address of the person, and the amount and aggregate amounts of such purchases; (G)] for each individual who contributes in excess of one hundred dollars but not more than one thousand dollars, in the aggregate, to the extent known, the principal occupation of such individual and the name of the individual's employer, if any; [(H)] (G) for each individual who contributes in excess of one thousand dollars in the aggregate, the principal occupation of such individual, the name of the individual's employer, if any, and a statement indicating whether the individual or a business with which he is associated has a contract with the state which is valued at more than five thousand dollars; and [(I)] (H) for each itemized contribution made by a lobbyist, the spouse of a lobbyist or any dependent child of a lobbyist who resides in the lobbyist's household, a statement to that effect. Each campaign treasurer shall

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include in such statement an itemized accounting of the receipts and expenditures relative to any testimonial affair held under the provisions of section 9-333k or any other fund-raising affair.

(2) Each contributor described in subparagraph [(G), (H) or (I)] (F), (G) or (H) of subdivision (1) of this subsection shall, at the time he makes such a contribution, provide the information which the campaign treasurer is required to include under said subparagraph in the statement filed under subsection (a), (e) or (f) of this section. Notwithstanding any provision of subdivision (2) of section 9-7b, any contributor described in subparagraph [(G)] (F) of subdivision (1) of this subsection who does not provide such information at the time he makes such a contribution and any treasurer shall not be subject to the provisions of subdivision (2) of section 9-7b. If a campaign treasurer receives a contribution from an individual which separately, or in the aggregate, is in excess of one thousand dollars and the contributor has not provided the information required by said subparagraph [(H)] (G), the campaign treasurer: (i) Within three business days after receiving the contribution, shall send a request for such information to the contributor by certified mail, return receipt requested; (ii) shall not deposit the contribution until he obtains such information from the contributor, notwithstanding the provisions of section 9-333h; and (iii) shall return the contribution to the contributor if the contributor does not provide the required information within fourteen days after the treasurer's written request or the end of the reporting period in which the contribution was received, whichever is later. Any failure of a contributor to provide the information which the campaign treasurer is required to include under said subparagraph [(G) or (I)] (F) or (H), which results in noncompliance by the campaign treasurer with the provisions of said subparagraph [(G) or (I)] (F) or (H), shall be a complete defense to any action against the campaign treasurer for failure to disclose such information.

(3) Contributions from a single individual to a campaign treasurer in the aggregate totaling thirty dollars or less need not be individually identified in the statement, but a sum representing the total amount of

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all such contributions made by all such individuals during the period to be covered by such statement shall be a separate entry, identified only by the words "total contributions from small contributors".

- (4) Statements filed in accordance with this section shall remain public records of the state for five years from the date such statements are filed.
- Sec. 6. Subsection (a) of section 9-333k of the general statutes is repealed and the following is substituted in lieu thereof:
 - (a) The chairman of each party committee shall designate a campaign treasurer and may designate a deputy campaign treasurer, or in the case of a state central committee, not more than two deputy campaign treasurers. The campaign treasurer and any deputy campaign treasurers so designated shall sign a statement accepting the designation, which shall be filed with the proper authority with the statement of designation required under subdivision (1) of subsection (a) of section 9-333d. No state central committee or town committee shall establish a committee other than a single party committee for purposes of this chapter. The members of the same political party in a house of the General Assembly may establish only one legislative caucus committee. A party committee or a political committee organized for ongoing political activities shall form no other political committees, except that two or more such committees may join to form a political committee for the purpose of a single fund-raising event.
- Sec. 7. Subsection (g) of section 9-333l of the general statutes is repealed and the following is substituted in lieu thereof:
 - (g) As used in this subsection, "immediate family" means any spouse or dependent child who resides in a lobbyist's household. Each lobbyist who is an individual and, in conjunction with members of his immediate family, makes contributions to or purchases from committees exceeding one thousand dollars in the aggregate during the twelve-month period beginning July 1, 1993, or July first in any year thereafter, shall file a statement, sworn under penalty of false

statement, with the Secretary of the State in accordance with the provisions of section 9-333e, on the second Thursday in July following the end of such twelve-month period. The statement shall include: (1) The name of each committee to which the lobbyist or a member of his immediate family has made a contribution and the amount and date of each such contribution; and (2) the name of each committee from which the lobbyist or member of his immediate family has purchased any item of property [or advertising space in a program] in connection with a fund-raising event which is not considered a contribution under subsection (b) of section 9-333b and the amount, date and description of each such purchase. Each lobbyist who is an individual and who, in conjunction with members of his immediate family, does not make contributions to or purchases from committees exceeding one thousand dollars in the aggregate during any such twelve-month period shall file a statement, sworn under penalty of false statement, with the Secretary of the State in accordance with the provisions of section 9-333e, on the second Thursday in July, so indicating.

Sec. 8. Section 9-333n of the general statutes is repealed and the following is substituted in lieu thereof:

(a) No individual shall make a contribution or contributions in any one calendar year in excess of five thousand dollars to the state central committee of any party, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a town committee of any political party, or for the benefit of such committee pursuant to its authorization or request; or two thousand dollars to a legislative caucus committee, or for the benefit of such committee pursuant to its authorization or request; or one thousand dollars to a political committee other than (1) a political committee formed solely to aid or promote the success or defeat of a referendum question, (2) an exploratory committee, (3) a political committee established by an organization, or for the benefit of such committee pursuant to its authorization or request or (4) a political committee formed by a slate of candidates in a primary for the position of delegate to the same convention. No individual who intends to make a contribution to any

clearly identifiable candidate's campaign for nomination or election to any public office may do so unless the contribution is made directly to the candidate's designated candidate or exploratory committee or to a solicitor appointed by the campaign treasurer of such committee. A political committee or party committee may not accept a contribution from an individual which is intended to be made for such purpose. This prohibition shall not apply to a contribution made to benefit a slate of candidates whose campaigns are funded solely by a party committee or political committee formed for a single primary or election as permitted by subsection (b) of section 9-333f.

- (b) No individual shall make a contribution to a political committee established by an organization which receives its funds from the organization's treasury. With respect to a political committee established by an organization which has complied with the provisions of subsection (b) or (c) of section 9-333p, and has elected to receive contributions, no individual other than a member of the organization may make contributions to the committee, in which case the individual may contribute not more than five hundred dollars in any one calendar year to such committee or for the benefit of such committee pursuant to its authorization or request.
- (c) In no event may any individual make contributions to a candidate committee and a political committee formed solely to support one candidate other than an exploratory committee or for the benefit of a candidate committee and a political committee formed solely to support one candidate pursuant to the authorization or request of any such committee, in an amount which in the aggregate is in excess of the maximum amount which may be contributed to the candidate.
- (d) Any individual may make unlimited contributions or expenditures to aid or promote the success or defeat of any referendum question, provided any individual who makes an expenditure or expenditures in excess of one thousand dollars to promote the success or defeat of any referendum question shall file

statements according to the same schedule and in the same manner as is required of a campaign treasurer of a political committee under section 9-333j.

- (e) Any individual acting alone may, independent of any candidate, agent of the candidate, or committee, make unlimited expenditures to promote the success or defeat of any candidate's campaign for election, or nomination at a primary, to any office or position, provided any individual who makes an independent expenditure or expenditures in excess of one thousand dollars to promote the success or defeat of any candidate's campaign for election, or nomination at a primary, to any such office or position shall file statements according to the same schedule and in the same manner as is required of a campaign treasurer of a candidate committee under section 9-333j.
- (f) (1) As used in this subsection, "investment services" means legal services, investment banking services, investment advisory services, underwriting services, financial advisory services or brokerage firm services.
- (2) No individual who is an owner of a firm which provides investment services and to which the Treasurer pays compensation, expenses or fees or issues a contract, and no individual who is employed by such a firm as a manager, officer, director, partner or employee with managerial or discretionary responsibilities to invest, manage funds or provide investment services for brokerage, underwriting and financial advisory activities which are in the statutory and constitutional purview of the Treasurer, shall make a contribution on or after October 1, 1995, to, or solicit contributions on or after said date on behalf of, an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Treasurer during the term of office of the Treasurer which pays compensation, expenses or fees or issues a contract to such firm.
- (3) No candidate for nomination or election to the office of Treasurer shall solicit contributions, on behalf of a candidate committee

established by a candidate for nomination or election to any public 468 469 office or on behalf of any political committee or party committee, from (1) any individual who is an owner of a firm which provides 470 471 investment services and to which the Treasurer pays compensation, 472 expenses or fees or issues a contract, (2) any individual who is 473 employed by such a firm as a manager, officer, director, partner or 474 employee with managerial or discretionary responsibilities to invest, manage funds or provide investment services for brokerage, 475 underwriting and financial advisory activities that are in the statutory 476 and constitutional purview of the Treasurer, (3) the spouse of any such 477 478 individual or a dependent child of any such individual who resides in 479 the individual's household, or (4) a political committee established by 480 any such firm.

- (4) The Treasurer shall keep a list of firms which provide investment services and to which the Treasurer pays compensation, expenses or fees or issues contracts. Said list shall be subject to disclosure under the Freedom of Information Act and shall be available to the State Elections Enforcement Commission. Each investment services contract issued by the Treasurer shall include the provisions of subdivisions (2) and (3) of this subsection as conditions of the contract. Each firm which provides investment services and to which the Treasurer pays compensation, expenses or fees or issues a contract shall maintain a list of the firm's managers, officers, directors, partners and employees with managerial or discretionary responsibilities to invest, manage funds or provide investment services for brokerage, underwriting and financial advisory activities and shall provide such list to the State Elections Enforcement Commission upon request.
- (g) (1) As used in this subsection, "state officer" means the Governor,
 Lieutenant Governor, Secretary of the State, Comptroller, Attorney
 General or Treasurer.
- 498 (2) If a state officer awards a contract or contracts which, separately
 499 or in the aggregate, have a value of one hundred thousand dollars or
 500 more to a business, (A) no individual who is an owner, partner,

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director or officer of said business, or a manager of said business who has substantial policy or decision-making authority concerning the administration of the contract shall make a contribution or contributions in excess of one hundred dollars to, or for the benefit of, said state officer's campaign for nomination at a primary or re-election to the same office or election to any other public office or to an exploratory committee formed by said state officer, and (B) said state officer and the officer's committee or agent shall not solicit contributions, on behalf of the candidate or exploratory committee established by said state officer or the candidate or exploratory committee established by any other candidate for nomination or election to any other public office or on behalf of any political committee or party committee, from (i) any individual who is an owner, officer, director, partner or such a manager of said business, (ii) the spouse of any such individual or a dependent child of any such individual who resides in the individual's household, (iii) a political committee established by said business, or (iv) any individual who is an owner, officer, director or partner of a subcontractor of said business or a manager of said subcontractor who has substantial policy or decision-making authority concerning the administration of the subcontract.

(3) Each state officer shall keep a list of (A) businesses to which the state officer has awarded a contract or contracts of one hundred thousand dollars or more, and (B) all subcontractors under said contracts. Said list shall be subject to disclosure under the Freedom of Information Act and shall be available to the State Elections Enforcement Commission. Each contract issued by a state officer shall include the provisions of subparagraph (A) of subdivision (2) of this subsection as a condition of the contract. Each business to which a state officer has awarded a contract or contracts of one hundred thousand dollars or more and each subcontractor under said contract shall maintain a list of such business' or subcontractor's owners, partners, directors, officers and managers with substantial policy or decision-making authority related to the administration of such contracts and

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shall provide such list to the State Elections Enforcement Commission
 upon request.

- (4) For purposes of this subsection, (A) a contract awarded by a
 department head in the executive branch of state government who is
 appointed by the Governor shall be deemed to have been awarded by
 the Governor, and (B) a contract awarded by a board, commission,
 council or other multi-member authority, for which a majority of the
 members are appointed by a single state officer, shall be deemed to
 have been awarded by said state officer.
- 544 (h) No lobbyist shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary 545 546 or election to the office of (1) Governor, in excess of two hundred fifty 547 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer, 548 Comptroller or Attorney General, in excess of two hundred dollars; (3) 549 state senator, in excess of one hundred fifty dollars; or (4) state 550 representative, in excess of one hundred dollars. No lobbyist shall make a contribution or contributions in any one calendar year in excess 551 552 of five hundred dollars to a state central committee, in excess of two 553 hundred fifty dollars to a town committee and in excess of two hundred fifty dollars to a legislative caucus committee. 554
 - (i) Any candidate who (1) has filed a certification pursuant to subdivision (2) or (3) of subsection (b) of section 9-333f and (2) personally makes an expenditure or expenditures in excess of one thousand dollars to, or for the benefit of, said candidate's campaign for nomination at a primary or election to an office or position shall file statements according to the same schedule and in the same manner as is required of a campaign treasurer of a candidate committee under section 9-333j.
- Sec. 9. Subsection (b) of section 9-3330 of the general statutes is repealed and the following is substituted in lieu thereof:
- 565 (b) A business entity may make reasonable and necessary transfers 566 or disbursements to or for the benefit of a political committee

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established by such business entity, for the administration of, or solicitation of contributions to, such political committee. No business entity shall establish more than one political committee. Nonmonetary contributions by a business entity which are incidental in nature and are directly attributable to the administration of such political committee shall be exempt from the reporting requirements of this chapter.

Sec. 10. Section 9-3330 of the general statutes is amended by adding subsection (g) as follows:

(NEW) (g) No political committee established by a business entity that is a lobbyist shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or election to the office of (1) Governor, in excess of two hundred fifty dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or Attorney General, in excess of two hundred dollars; (3) state senator, in excess of one hundred fifty dollars; or (4) state representative, in excess of one hundred dollars. No such political committee shall make a contribution or contributions in any one calendar year in excess of five hundred dollars to a state central committee, in excess of two hundred fifty dollars to a legislative caucus committee.

Sec. 11. Section 9-333q of the general statutes is amended by adding subsection (f) as follows:

(NEW) (f) No political committee established by an organization that is a lobbyist shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or election to the office of (1) Governor, in excess of two hundred fifty dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer, Comptroller or Attorney General, in excess of two hundred dollars; (3) state senator, in excess of one hundred fifty dollars; or (4) state representative, in excess of one hundred dollars. No such political

committee shall make a contribution or contributions in any one calendar year in excess of five hundred dollars to a state central committee, in excess of two hundred fifty dollars to a town committee or in excess of two hundred fifty dollars to a legislative caucus committee.

- Sec. 12. Section 9-333s of the general statutes is repealed and the following is substituted in lieu thereof:
- (a) No party committee shall make a contribution or contributions to, or for the benefit of, any candidate's campaign for nomination at a primary or election to the office of (1) Governor, in excess of fifty thousand dollars, (2) Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller or Attorney General, in excess of thirty thousand dollars, (3) sheriff, in excess of twenty thousand dollars, (4) state senator, probate judge or chief executive officer of a town, city or borough, in excess of ten thousand dollars, (5) state representative, in excess of five thousand dollars, or (6) any other office of a municipality not included in subdivision (4) of this subsection, in excess of two thousand five hundred dollars. The limits imposed by this subsection shall apply separately to primaries and elections.
 - (b) No state central committee shall make a contribution or contributions in excess of five thousand dollars to a political committee in any calendar year. No town committee shall make a contribution or contributions in excess of five hundred dollars to a political committee in any calendar year, provided no town committee shall make a contribution or contributions in excess of five thousand dollars to a political committee formed for a slate of candidates for a single primary or election. No legislative caucus committee shall make a contribution or contributions in excess of two thousand dollars to a political committee in any calendar year. No party committee shall make a contribution or contributions in excess of two hundred fifty dollars to an exploratory committee. The limits imposed in this subsection shall not apply to contributions from a party committee to a political committee formed solely to aid or promote the success or

632 <u>defeat of a referendum question.</u>

633 (c) A party committee may make unlimited contributions to, or for 634 the benefit of, any of the following: (1) Another party committee; (2) [a 635 candidate committee; (3)] a national committee; [of a political party; 636 (4) or (3) a committee of a candidate for federal or out-of-state office. 637 [or (5) a political committee.] A party committee may also make 638 contributions to a charitable organization which is a tax-exempt 639 organization under Section 501(c)(3) of the Internal Revenue Code, as 640 from time to time amended, or make memorial contributions.

- [(b)] (d) A party committee may receive contributions from a federal account of a national committee, [of a political party,] but may not receive contributions from any other account of a national committee [of a political party] or from a committee of a candidate for federal or out-of-state office, for use in the election of candidates subject to the provisions of this chapter.
- Sec. 13. Section 9-333t of the general statutes is repealed and the following is substituted in lieu thereof:
- 649 (a) [A] No political committee organized for ongoing political 650 activities [may make unlimited contributions to, or for the benefit of, a 651 party committee; any national committee of a political party; a 652 candidate committee; or a committee of a candidate for federal or out-653 of-state office] shall make a contribution or contributions to, or for the 654 benefit of, any candidate's campaign for nomination at a primary or 655 election to the office of (1) Governor, in excess of five thousand dollars, 656 (2) Lieutenant Governor, Secretary of the State, State Treasurer, State Comptroller or Attorney General, in excess of three thousand dollars, 657 (3) sheriff, in excess of two thousand dollars, (4) state senator, probate 658 659 judge or chief executive officer of a town, city or borough, in excess of 660 one thousand dollars, (5) state representative, in excess of five hundred dollars, or (6) any other office of a municipality not included in 661 subdivision (4) of this subsection, in excess of two hundred fifty 662 663 dollars. The limits imposed by this subsection shall apply separately to

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664 primaries and elections.

(b) No such political committee shall make a contribution or contributions in excess of two thousand dollars to another political committee in any calendar year except that a political committee organized by a business entity may make unlimited contributions to, or for the benefit of, another political committee organized by a business entity. No political committee organized for ongoing political activities shall make a contribution in excess of two hundred fifty dollars to an exploratory committee. If such an ongoing committee is established by an organization or a business entity, its contributions shall be subject to the limits imposed by sections 9-3330 to 9-333q, inclusive.

- (c) No political committee organized for ongoing political activities shall make a contribution or contributions in any calendar year to (1) a state central committee, in excess of five thousand dollars, (2) a town committee, in excess of one thousand dollars, or (3) a legislative caucus committee, in excess of two thousand dollars.
- (d) A political committee organized for ongoing political activities may make <u>unlimited</u> contributions to <u>a national committee</u>, <u>a committee of a candidate for federal or out-of-state office, and a charitable organization which is a tax-exempt organization under Section 501(c)(3) of the Internal Revenue Code, as from time to time amended, or make memorial contributions.</u>
- [(b)] (e) A political committee organized for ongoing political activities may receive contributions from the federal account of a national committee, [of a political party,] but may not receive contributions from any other account of a national committee [of a political party] or from a committee of a candidate for federal or out-of-state office.
- (f) No elected public official, agent of an elected public official or
 individual acting in consultation with, or at the request or suggestion
 of, any such public official or agent shall establish, direct or control

696 more than one political committee organized for ongoing political activities.

- 698 (g) No political committee organized for ongoing political activities 699 by or on behalf of a lobbyist shall make a contribution or contributions 700 to, or for the benefit of, any candidate's campaign for nomination at a 701 primary or election to the office of (1) Governor, in excess of two 702 hundred fifty dollars; (2) Lieutenant Governor, Secretary of the State, 703 Treasurer, Comptroller or Attorney General, in excess of two hundred 704 dollars; (3) state senator, in excess of one hundred fifty dollars; or (4) state representative, in excess of one hundred dollars. No such political 705 706 committee shall make a contribution or contributions in any one 707 calendar year in excess of five hundred dollars to a state central 708 committee, in excess of two hundred fifty dollars to a town committee 709 or in excess of two hundred fifty dollars to a legislative caucus 710 committee.
- Sec. 14. Section 9-333u of the general statutes is repealed and the following is substituted in lieu thereof:
- [(a) A political committee established for a single primary or election may make unlimited contributions to, or for the benefit of, a party committee or a candidate committee, but no such]
- 716 (a) No political committee established for a single primary or 717 election shall make a contribution or contributions to, or for the benefit 718 of, any candidate's campaign for nomination at a primary or election to the office of (1) Governor, in excess of five thousand dollars, (2) 719 720 Lieutenant Governor, Secretary of the State, State Treasurer, State 721 Comptroller or Attorney General, in excess of three thousand dollars, 722 (3) sheriff, in excess of two thousand dollars, (4) state senator, probate 723 judge or chief executive officer of a town, city or borough, in excess of 724 one thousand dollars, (5) state representative, in excess of five hundred 725 dollars, or (6) any other office of a municipality not included in subdivision (4) of this subsection, in excess of two hundred fifty 726 727 dollars. The limits imposed by this subsection shall apply separately to

728 primaries and elections and shall not apply to a political committee

- 729 established for a slate of candidates under subsection (b) of section 9-
- 730 333f.
- 731 <u>(b) No political committee established for a single primary or</u> 732 election shall make contributions to a national committee, or a
- 732 <u>election</u> shall make contributions to a national committee, of a
- 733 committee of a candidate for federal or out-of-state office. If such a
- 734 political committee is established by an organization or a business
- entity, its contributions shall also be subject to the limitations imposed
- 736 by sections 9-3330 to 9-333q, inclusive. No political committee formed
- for a single election or primary shall, with respect to such election or
- 738 primary make a contribution or contributions in excess of two
- 739 thousand dollars to another political committee, provided no such
- 740 political committee shall make a contribution in excess of two hundred
- 741 fifty dollars to an exploratory committee.
- 742 (c) No political committee established for a single primary or
- 743 election shall make a contribution or contributions to (1) a state central
- 744 committee, in excess of five thousand dollars, (2) a town committee, in
- excess of one thousand dollars, or (3) a legislative caucus committee, in
- 746 excess of two thousand dollars.
- 747 [(b)] (d) A political committee established for a single primary or
- 748 election shall not receive contributions from a committee of a
- 749 candidate for federal or out-of-state office or from a national
- 750 committee.
- 751 (e) No elected public official, agent of an elected public official or
- 752 individual acting in consultation with, or at the request or suggestion
- of, any such public official or agent shall establish, direct or control
- more than one political committee established for a single primary or
- 755 election.
- 756 (f) No political committee established for a single primary or
- 757 election by or on behalf of a lobbyist shall make a contribution or

contributions to, or for the benefit of, any candidate's campaign for 758 759 nomination at a primary or election to the office of (1) Governor, in 760 excess of two hundred fifty dollars; (2) Lieutenant Governor, Secretary 761 of the State, Treasurer, Comptroller or Attorney General, in excess of 762 two hundred dollars; (3) state senator, in excess of one hundred fifty 763 dollars; or (4) state representative, in excess of one hundred dollars. No 764 such political committee shall make a contribution or contributions in 765 any one calendar year in excess of five hundred dollars to a state 766 central committee, in excess of two hundred fifty dollars to a town 767 committee or in excess of two hundred fifty dollars to a legislative 768 caucus committee.

- Sec. 15. Section 9-333w of the general statutes is amended by adding subsection (g) as follows:
- 771 (NEW) (g) The campaign treasurer of an exploratory committee or 772 candidate committee established by a candidate for nomination or 773 election as a state officer, as defined in subdivision (1) of subsection (g) 774 of section 9-333n, as amended, which sponsors any written, typed or 775 other printed communication for the purpose of raising funds shall 776 include in such communication a statement concerning the 777 contribution limit set forth in subsection (g) of section 9-333n, as 778 amended.
- Sec. 16. Subsections (b) and (c) of section 9-348ee of the general statutes are repealed and the following is substituted in lieu thereof:
- 781 (b) On and after January 1, [1999] 2001, the campaign treasurer of 782 the candidate committee for each candidate for nomination or election 783 to the office of Governor, Lieutenant Governor, Attorney General, 784 State Comptroller, State Treasurer, [or] Secretary of the State, state 785 senator or state representative who raises or spends [two hundred 786 fifty] fifteen thousand dollars or more during an election campaign, 787 the campaign treasurer of each political committee or town committee 788 that raises or spends fifteen thousand dollars or more in a calendar 789 year, and the campaign treasurer of each state central committee and

legislative caucus committee shall file in electronic form all financial disclosure statements required by said section 9-333j by either transmitting disks, tapes or other electronic storage media containing the contents of such statements to the office of the Secretary of the State or transmitting the statements on-line to said office. Each such campaign treasurer shall use either (1) a software program created by the Secretary of the State under subdivision (1) of subsection (a) of this section, for all such statements filed on or after [January 1, 1999] said date, or (2) another software program which provides for the standard reporting format, and complies with the specifications, which are prescribed by the secretary under subdivision (2) of subsection (a) of this section, for all such statements filed on or after [July 1, 1999] said date. The office of the Secretary of the State shall accept any statement that uses any such software program. Once any such candidate committee has raised or spent [two hundred fifty] fifteen thousand dollars or more during an election campaign, all previously filed statements required by said section 9-333j, which were not filed in electronic form shall be refiled in such form, using such a software program, not later than the date on which the campaign treasurer of the committee is required to file the next regular statement under said section 9-333j.

(c) On and after January 1, [1999] 2001, (1) the campaign treasurer of the candidate committee for any other candidate, as defined in section 9-333a, who is required to file the financial disclosure statements required by section 9-333j with the office of the Secretary of the State and (2) the campaign treasurer of any other political committee or party committee, may file in electronic form any financial disclosure statements required by said section 9-333j. Such filings may be made by either transmitting disks, tapes or other electronic storage media containing the contents of such statements to the proper authority under section 9-333e or transmitting the statements on-line to such proper authority. Each such campaign treasurer shall use either (A) a software program created by the Secretary of the State under subdivision (1) of subsection (a) of this section, for all such statements

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filed in electronic form on or after [January 1, 1999] <u>said date</u>, or (B) another software program which provides for the standard reporting format, and complies with the specifications, which are prescribed by the secretary under subdivision (2) of subsection (a) of this section, for all such statements filed in electronic form on or after [July 1, 1999] <u>said date</u>. The proper authority under section 9-333e shall accept any statement that uses any such software program.

Sec. 17. This act shall take effect July 1, 2000."